

10-10-00

Express Mail Mailing Label No. EL682948492US

KOPPEL & JACOBS

AN ASSOCIATION OF PROFESSIONAL LAW CORPORATIONS

555 ST. CHARLES DRIVE, SUITE 107
THOUSAND OAKS, CALIFORNIA 91360
PHONE (805) 373-0060

FAX (805) 373-0051

PATENTS
TRADEMARKS
COPYRIGHTS

October 6, 2000

HARD S. KOPPEL, P.C.
KVIN E. JACOBS, P.C.
VEN C. PATRICK
WILLIAM L. JOHNSON
E. P. HEYBL
AEL J. RAM, D.Sc.
NAOMI MANN
JOSEPH COMPTON*

*PATENT AGENT

A

JC913 U.S. PTO
09/680737
10/06/00Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231Re: METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO
TO RECONSTRUCT MULTICHANNEL AUDIO
Docket No. 262-23-232

Sir:

Transmitted herewith for filing under 35 U.S.C. 111 and 37 CFR 1.53 is the patent application of WILLIAM P. SMITH, STEPHEN SMYTH and MING YAN entitled METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO TO RECONSTRUCT MULTICHANNEL AUDIO.

Enclosed are:

19 pages of written description, claims and abstract.
8 sheets of drawings plus 2 copies.
Unsigned Declaration and Power of Attorney.

The filing and recording fees have been calculated as shown below:

Basic Fee				\$710.00
Total Claims	(19 - 20)	x	\$18.00	= 0.00
Independent Claims	(3 - 3)	x	\$80.00	= 0.00
Total Fee:				\$710.00

Our Check No. 15496 for \$710.00 to cover the filing and recording fees is enclosed. We authorize the Commissioner to charge (1) payment of any additional filing fees required under 37 CFR §1.16, (2) payment of any patent application processing fees under 37 CFR §1.17 associated with this communication, or (3) payment of any fees that occur during the pendency of this application (and to credit any overpayment) to Deposit Account No. 11-1580. We enclose a duplicate copy of this sheet.

Very truly yours,
KOPPEL & JACOBSWilliam L. Johnson
Registration No. 41,876

WLJ/mm

Enclosures

M27-262-23-232Transmittal ltr.doc

OF

MING YAN

FOR

ON

Docket No. 262-23-232

DIGITAL THEATER SYSTEMS, INC.

5 METHOD OF DECODING TWO-CHANNEL MATRIX ENCODED AUDIO TO
RECONSTRUCT MULTICHANNEL AUDIO

BACKGROUND OF THE INVENTION

Field of the Invention

10 This invention relates to multichannel audio and more specifically to a method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that more closely approximates a discrete surround-sound presentation.

15

Description of the Related Art

1 Multichannel audio has become the standard for cinema
2 and home theater, is gaining rapid acceptance in music,
3 automotive, computers, gaming and other audio applications,
4 and is being considered for broadcast television.
5 Multichannel audio provides a surround-sound environment
6 that greatly enhances the listening experience and the
7 overall presentation of any audio-visual system. The move
8 from stereo to multichannel audio has been driven by a
9 number of factors paramount among them being the consumers'
10 desire for higher quality audio presentation. Higher
11 quality means not only more channels but higher fidelity
12 channels and improved separation or "discreteness" between
13 the channels. Another important factor to consumer and
14 manufacturer alike is retention of backward compatibility
15 with existing speaker systems and encoded content and
16 enhancement of the audio presentation with those existing
17 systems and content.

The earliest multichannel systems matrix encoded

5 such as Dolby Prologic™ provided surround-sound audio, the
audio presentation is not discrete but is characterized by
crosstalk and phase distortion. The matrix decoding
algorithms identify a single dominant signal and position
that signal in a 5-point sound-field accordingly to then
10 reconstruct the L,R,C and S signals. The result can be a
"mushy" audio presentation in which the different signals
are not clearly spatially separated, particularly less
dominant but important signals may be effectively lost.

15 discrete 5.1 channel audio, which splits the surround channel into left and right surround channels and adds a subwoofer channel (L,R,C,Ls,Rs,Sub). Each channel is compressed independently and then mixed together in a 5.1 format thereby maintaining the discreteness of each signal.

20 Dolby AC-3™, Sony SDDS™ and DTS Coherent Acoustics™ are all examples of 5.1 systems. Recently 6.1 channel audio, which adds a center surround channel Cs, has been introduced. Truly discrete audio provides a clear spatial separation of the audio channels and can support multiple
25 dominant signals thus providing a richer and more natural sound presentation.

Having become accustomed to discrete multichannel audio and having invested in a 5.1 speaker system for their homes, consumers will be reluctant to accept clearly inferior surround-sound presentations. Unfortunately only a relatively small percentage of content is currently available in the 5.1 format. The vast majority of content is only available in a two-channel matrix encoded format, predominantly Dolby Prologic™. Because of the large

installation of Prologic decoders, it is expected that 5.1 content will continue to be encoded in the Prologic format as well. Accordingly, there remains an unfulfilled need in the industry to provide a method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that more closely approximates "discrete" multichannel audio.

Dolby Prologic™ provided one of the earliest two-channel matrix encoded multichannel systems. Prologic squeezes 4-channels (L,R,C,S) into 2-channels (Lt,Rt) by introducing a phase-shifted surround sound term. These 2-channels are then encoded into the existing 2-channel formats. Decoding is a two step process in which an existing decoder receives Lt,Rt and then a Prologic decoder expands Lt,Rt into L,R,C,S. Because four signals (unknowns) are carried on only two channels (equations), the Prologic decoding operation is only an approximation and cannot provide true discrete multichannel audio.

As shown in figure 1, a studio 2 will mix several, e.g. 48, audio sources to provide a four-channel mix (L,R,C,S). The Prologic encoder 4 matrix encodes this mix as follows:

$$Lt = L + .707C + S(+90^\circ), \text{ and} \quad (1)$$

$$Rt = R + .707C + S(-90^\circ), \quad (2)$$

which are carried on the two discrete channels, encoded into the existing two-channel format and recorded on a media 6 such as film, CD or DVD.

A Prologic matrix decoder 8 decodes the two discrete channels Lt,Rt and expands them into four discrete reconstructed channels Lr,Rr,Cr and Sr that are amplified and distributed to a five speaker system 10. Many different proprietary algorithms are used to perform an active decode and all are based on measuring the power of Lt+Rt, Lt-Rt, Lt and Rt to calculate gain factors Gi whereby,

$$S_r = G_7 \cdot L_t + G_8 \cdot R_t. \quad (6)$$

10 and S channels according to:

$$Spow(t) = C1 * (Lt - Rt) + C2 * Spow(t-1) \quad (10)$$

power levels at the previous instant.

C/S dominance vectors according to:

$$\text{else Dom } L/R = L_{\text{pow}}(t)/R_{\text{pow}}(t) - 1, \quad (11)$$

and

$$\text{else Dom } C/R = C_{\text{pow}}(t) / S_{\text{pow}}(t) - 1. \quad (12)$$

$$\text{else Dom } C/R = C_{\text{pow}}(t)/S_{\text{pow}}(t) - 1. \quad (12)$$

$$[G]_{\text{Dom}} = [G]_{\text{Null}} + \text{Dom L/R} * [G]_{\text{R}} + \text{Dom C/S} * [G]_{\text{C}} \quad (13)$$

G1, G2, ...G8.

This assumes that the dominant point is located in the R/C quadrant of the 5-point sound field. In general the appropriate power levels are inserted into the equation based on which quadrant the dominant point resides. The
5 [G]_{Dom} coefficients are then used to reconstruct the L,R,C and S channels according to equations 3-6, which are then passed to the amplifiers and onto the speaker configuration.

When compared to a discrete 5.1 system the drawbacks
10 are clear. The surround-sound presentation includes crosstalk and phase distortion and at best approximates a discrete audio presentation. Signals other than the single dominant signal, which either emanate from different locations or reside in different spectral bands, tend to
15 get washed out by the single dominant signal.

5.1 surround-sound systems such as Dolby AC-3™, Sony SDDS™ and DTS Coherent Acoustics™ maintain the discreteness of the multichannel audio thus providing a richer and more natural audio presentation. As shown in
20 figure 3, the studio 20 provides a 5.1 channel mix. A 5.1 encoder 22 compresses each signal or channel independently, multiplexes them together and packs the audio data into a given 5.1 format, which is recorded on a suitable media 24 such as a DVD. A 5.1 decoder 26 decodes the bitstream a
25 frame at a time by extracting the audio data, demultiplexing it into the 5.1 channels and then decompressing each channel to reproduce the signals (Lr,Rr,Cr,Lsr,Rsr,Sub). These 5.1 discrete channels, which carry the 5.1 discrete audio signals are directed to the
30 appropriate discrete speakers in speaker configuration 28 (subwoofer not shown).

SUMMARY OF THE INVENTION

In view of the above problems, the present invention

provides a method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that more closely approximates a discrete surround-sound presentation.

This is accomplished by subband filtering the two-channel matrix encoded audio, mapping each of the subband signals into an expanded sound field to produce multichannel subband signals, and synthesizing those subband signals to reconstruct multichannel audio. By steering the subbands separately about an expanded sound field, various sounds can be simultaneously positioned about the sound field at different points allowing for more accurate placement and more distinct definition of each sound element.

The process of subband filtering provides for multiple dominant signals, one in each of the subbands. As a result, signals that are important to the audio presentation that would otherwise be masked by the single dominant signal are retained in the surround-sound presentation provided they lie in different subbands. In order to optimize the tradeoff between performance and computations a bark filter approach may be preferred in which the subbands are tuned to the sensitivity of the human ear.

By expanding the sound field, the decoder can more accurately position audio signals in the sound field. As a result, signals that would otherwise appear to emanate from the same location can be separated to appear more discrete. To optimize performance it may be preferred to match the expanded sound field to the multichannel input.

30 For example, a 9-point sound field provides discrete points, each having a set of optimized gain coefficients, including points for each of the L,R,C,Ls,Rs and Cs channels.

These and other features and advantages of the

5

FIG. 1, as described above, is a block diagram of a two-channel matrix encoded surround-sound system;

10

15

20

25

30

5

15

Decoder 30 includes a subband filter 38, a matrix

decoder 40 and a synthesis filter 42, which together decode the two-channel matrix encoded audio Lt and Rt and reconstruct the multichannel audio. As illustrated in Figure 5 the decoding and reconstruction entails a sequence of steps as follows:

1. Extract a block of samples, e.g. 64, for each input channel (Lt,Rt) (step 50).
- 10 2. Filter each block using the multi-band filter bank 38, e.g. a 64-band polyphase filter bank 52 of the type shown in Figure 6a, to form subband audio signals (step 54).
- 15 3. (Optional) Group the resulting subband samples into the closest resulting bark bands 56 as shown in Figure 7 (step 58). The bark bands may be further combined to reduce computational load.
- 20 4. Measure power level for each of the Lt and Rt subbands (step 60).
5. Compute the power levels for each of the L,R,C and S subbands (step 62).
- 25
$$Lpow(t)^i = C1*Lt + C2*Lpow^i(t-1) \quad (14)$$

$$Rpow(t)^i = C1*Rt + C2*Rpow^i(t-1) \quad (15)$$

$$Cpow(t)^i = C1*(Lt+Rt) + C2*Cpow^i(t-1) \quad (16)$$

$$Spow(t)^i = C1*(Lt-Rt) + C2*Spow^i(t-1) \quad (17)$$

where i indicates the subband, C1 and C2 are the time averaging coefficients, and (t-1) indicates the previous instance.

- 30 6. Compute the L/R and C/S dominance vectors for each subband (step 64).

The gain coefficients for signal vector 72 in each subband are preferably computed based on the values of the gain coefficients at the 4-corners of the quadrant in which signal vector 72 resides. One approach is to interpolate the gain coefficients at that point based on the coefficient values at the corner points.

The generalized interpolation equations for a point residing in the upper left quadrant are given by the following equations:

$$[G]_{\text{vector}}^i = D1^i * [G]_{\text{Null}} + D2^i * [G]_L + D3^i * [G]_C + D4^i * [G]_{UL} \quad (20)$$

where D1, D2, D3 and D4 are the linear interpolation coefficients given by:

$D1^i = 1$ -distance between null (0,0) and vector 72,

$D2^i = 1$ -distance between L (0,1) and vector 72,

$D3^i = 1$ -distance between C (1,0) and vector 72, and

$D4^i = 1$ - distance between UL (1,1) and vector 72 where "distance" is any appropriate distance metric.

Although higher order functions could be used, initial testing has indicated that a simple first order or linear interpolation performs the best where the coefficients are given by:

$$D1^i = (1 - |Dom LR^i| - |Dom CS^i| + |Dom LR^i| * |Dom CS^i|)$$

$$D2^i = (|Dom LR^i| - |Dom LR^i| * |Dom CS^i|)$$

$$D3^i = (|Dom CS^i| - |Dom LR^i| * |Dom CS^i|)$$

$$D4^i = (|Dom LR^i| * |Dom CS^i|)$$

where $|*|$ is a magnitude function and i indicates the subband.

5 If signal vector 72 is coincident with the null point, the coefficients default to the null point coefficients. If the point lies in the center of the quadrant $(1/2, 1/2)$ then all four corner points contribute equally one-fourth of their value. If the point lies closer to one point that point will contribute more heavily but in a linear manner. For example if the point lies at $(1/4, 1/4)$, close to the null point, then the contributions are $9/16 [G]_{Null}$, $3/16 [G]_L$, $3/16 [G]_C$ and $1/16 [G]_{UL}$.

9. Reconstruct the multichannel subband audio signals according to (step 74):

$$Lr^i = G1^i * Lt^i + G2^i * Rt^i \quad (21)$$

$$20 \quad Rr^i = G3^i * Lt^i + G4^i * Rt^i \quad (22)$$

$$Cr^i = G5^i * Lt^i + G6^i * Rt^i, \quad (23)$$

$$Lsr^i = G7^i * Lt^i + G8^i * Rt^i, \quad (24)$$

$$Rsr^i = G9^i * Lt^i + G10^i * Rt^i, \text{ and} \quad (25)$$

$$Csr^i = G11^i * Lt^i + G12^i * Rt^i \quad (26)$$

25 where $[G]_{vector}^i$ provide $G1^i, G2^i, \dots, G12^i$.

10. Pass the multichannel subband audio signals through synthesis filter 42 of the type shown in Figure 6b, e.g. an inverse polyphase filter 76, to produce the reconstructed multichannel audio (step 78). Depending upon the audio content, the reconstructed audio may comprise multiple dominant signals, up to one per subband.

This approach has two principal advantages over known steered matrix systems such as Prologic:

- 5 1. By steering the subbands separately, various sounds can be positioned about the matrix at different points simultaneously, allowing for more accurate placement and more distinct definition of each sound element.
- 10 2. The present matrix observes the motion picture/DVD channel configuration of three front channels and two or three rear channels. Thus optimum use is made of a single loudspeaker
- 15 layout for both 5.1/6.1 discrete DVDs, and Lt/Rt playback through the matrix.

While several illustrative embodiments of the invention have been shown and described, numerous variations and alternate embodiments will occur to those skilled in the art. Such variations and alternate

20 embodiments are contemplated, and can be made without departing from the spirit and scope of the invention as defined in the appended claims.

09680737 100600

5

5

5

S

5

C

10

1

5

5

a

5

10

L

5

1

ABSTRACT OF THE INVENTION

5 The present invention provides a method of decoding two-channel matrix encoded audio to reconstruct multichannel audio that more closely approximates a discrete surround-sound presentation. This is accomplished by subband filtering the two-channel matrix encoded audio, mapping
10 each of the subband signals into an expanded sound field to produce multichannel subband signals, and synthesizing those subband signals to reconstruct multichannel audio.

By steering the subbands separately about an expanded sound field, various sounds can be simultaneously
15 positioned about the sound field at different points allowing for more accurate placement and more distinct definition of each sound element.

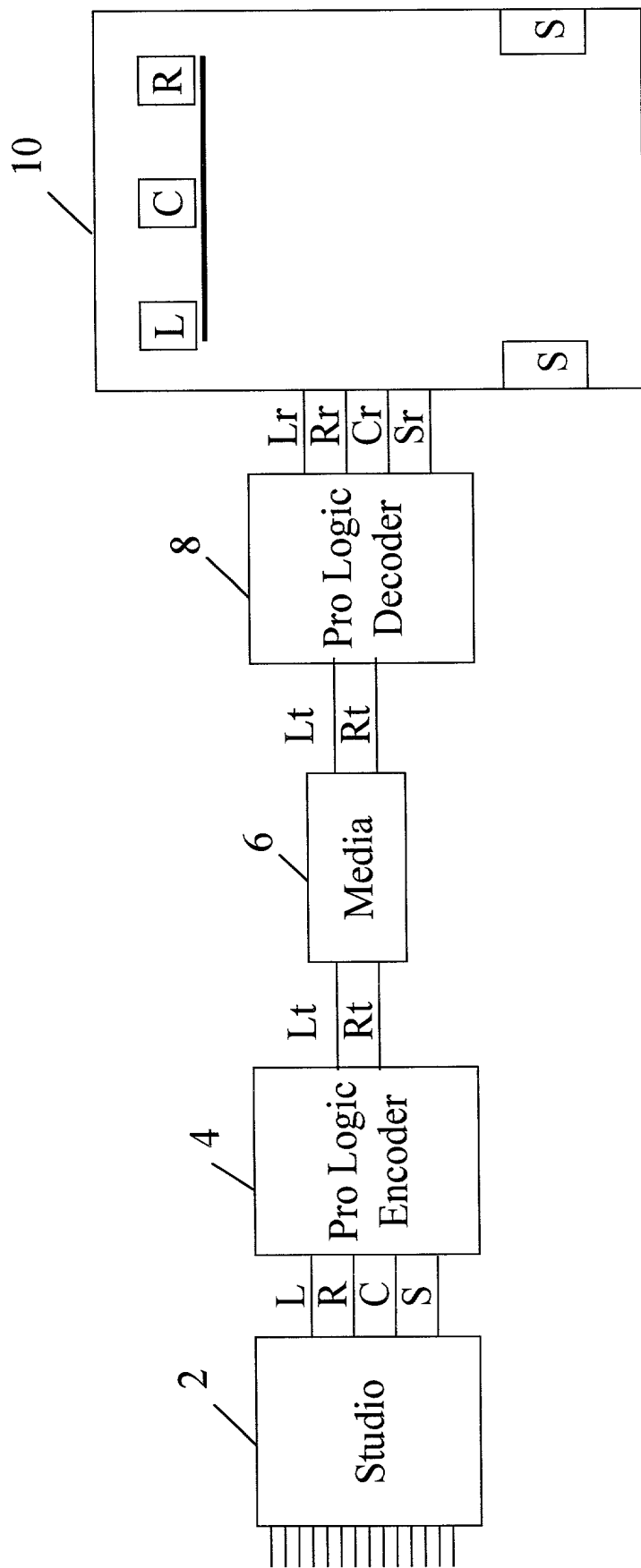


FIG. 1 (Prior Art)

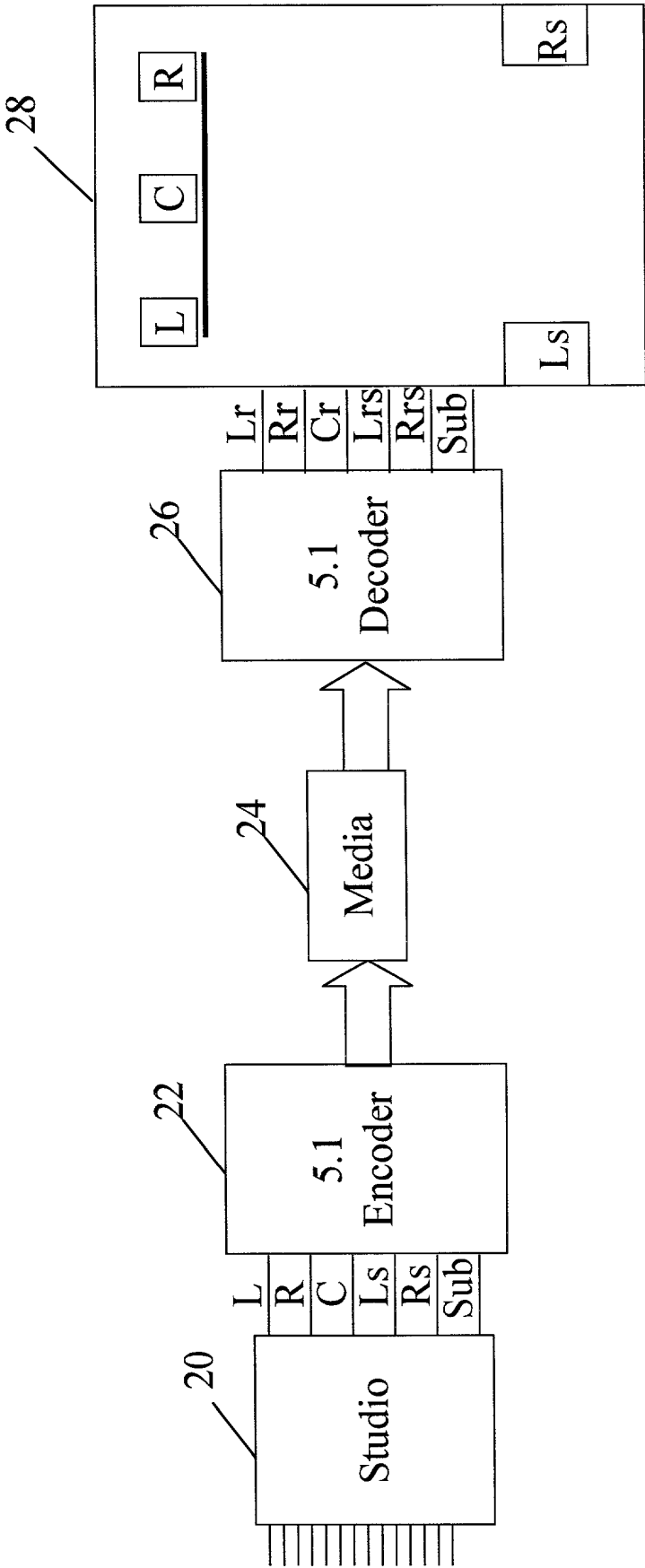


FIG. 3 (Prior Art)

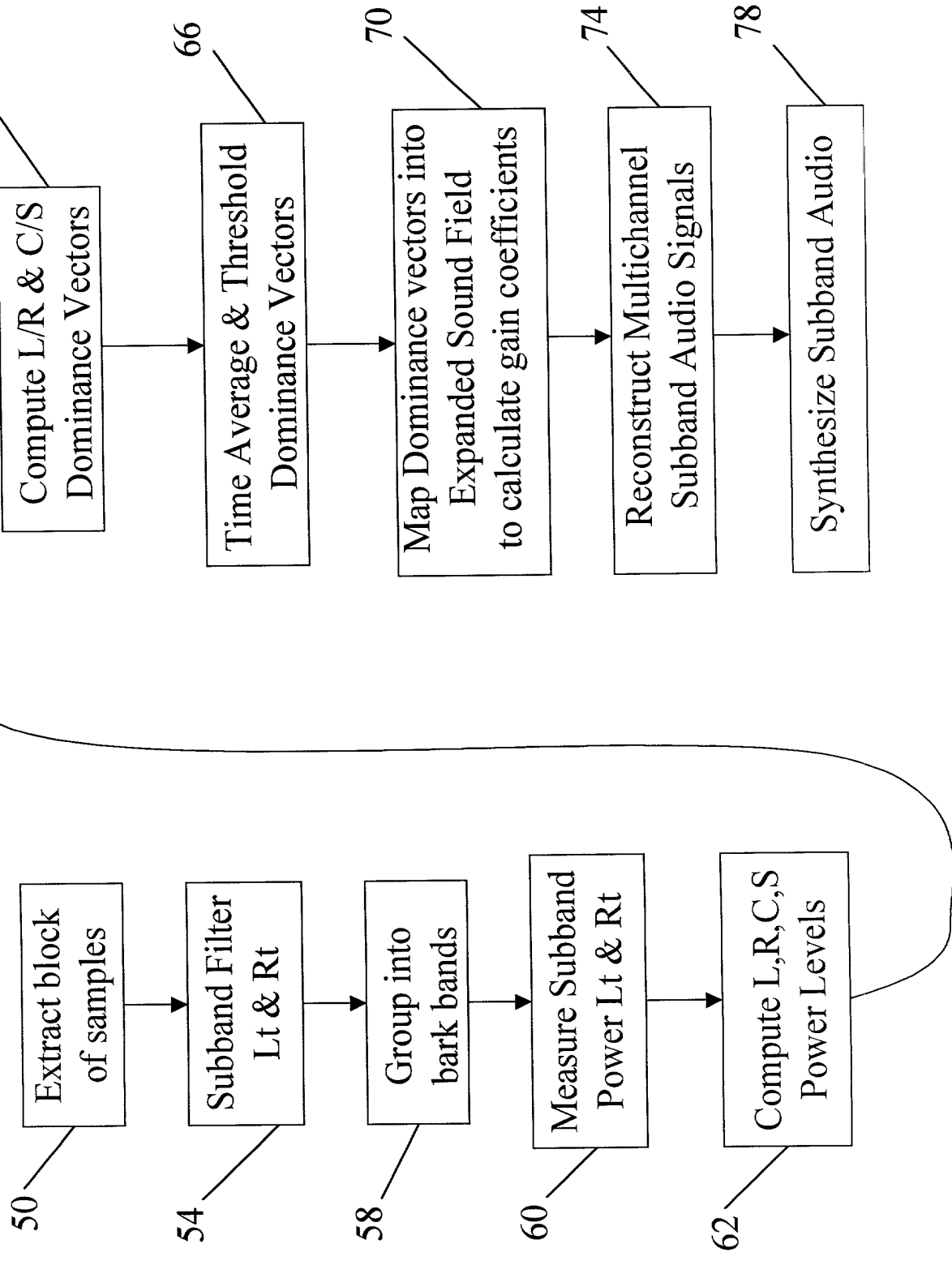


FIG. 5

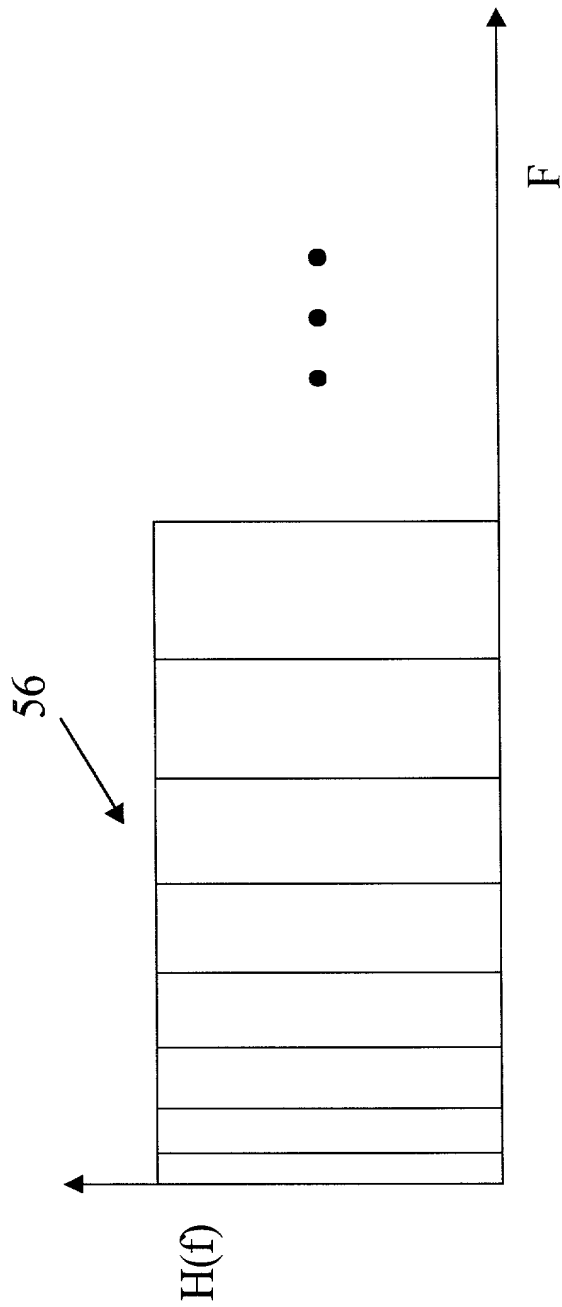


FIG. 7

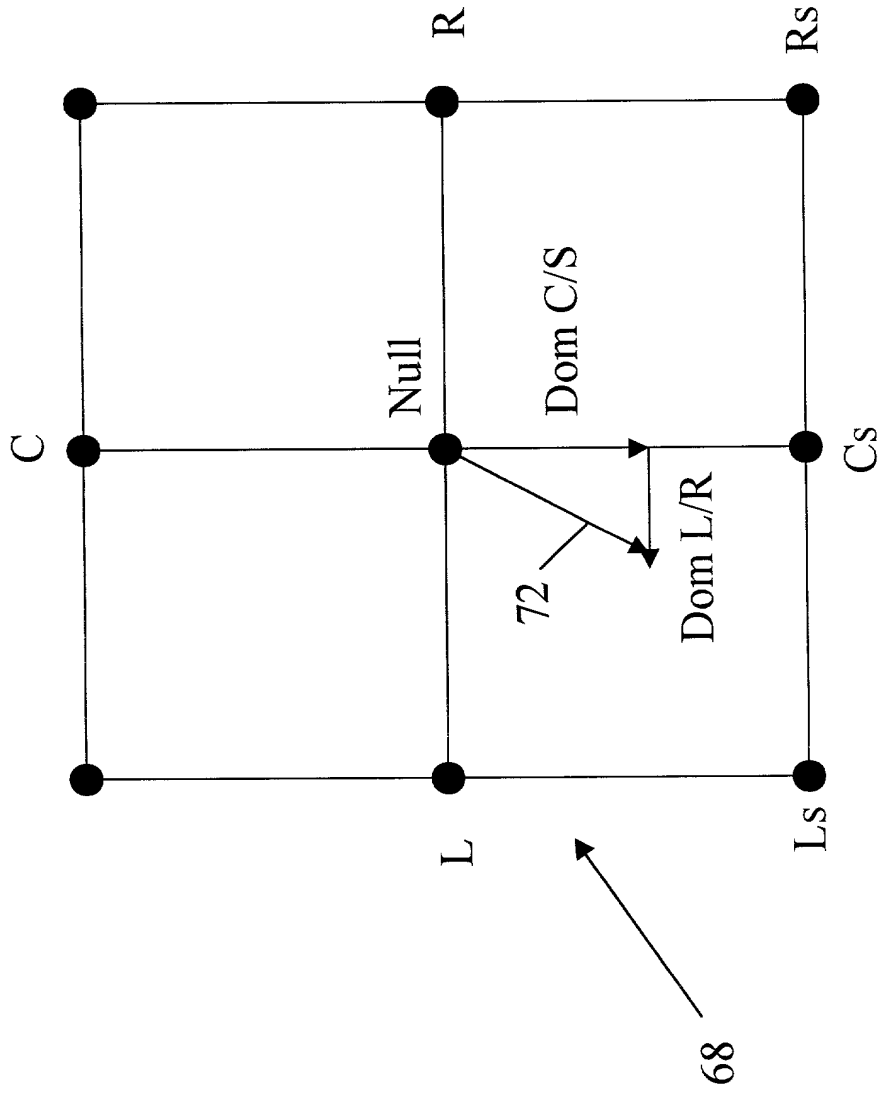


FIG. 8

Post Office Address:

Date: _____, 2000 _____

Post Office Address:

M27-262-23-232Declaration-multi doc

	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2423	2424	2425	2426	2427	2428	2429	2430	2431	2432	2433	2434	2435	2436	2437	2438	2439	2440	2441	2442	2
--	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	---